

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peterson, Gary E. Examiner: Pillai, Namitha
Application Number: 10/716,893 Art Unit: 2173
Confirmation Number: 8694
Filing Date: November 18, 2003
Title: INTERACTIVE RISK MANAGEMENT
SYSTEM AND METHOD

SUPPLEMENTAL TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dated: September 25, 2009

Sir:

The Information Disclosure Statement submitted herewith includes, in compliance with MPEP §2004, paragraph 9, specific reference to co-pending applications U.S. Application Numbers 10/868,484 and 11/223,468. References cited in these applications and not cited in the present application are listed on the enclosed IDS Form SB08a. In addition, based on the holding in *Dayco Prod., Inc. v. Total Containment, Inc.*, 329 F.3d 1358, 1365-69 (CAFC 2003), the Office Actions in U.S. Application Numbers 10/868,484 and 11/223,468 are listed on the enclosed IDS Form SB08a, and copies are provided with this submission.

Respectfully submitted,

/Ralph J. Crispino/
Ralph J. Crispino, Reg. No. 46,144
Attorney for Applicant

ABELMAN, FRAYNE & SCHWAB
Customer Number 38,137
666 Third Avenue
New York, NY 10017-5621
(212) 949-9022
(212) 949-9190
Direct Tel. No.: (212) 885-9358